

## CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 14, 2003.

*Susan L. Nelson*  
Susan L. Nelson

*11-14-2003*  
Date of Signature

## IN THE U.S. PATENT &amp; TRADEMARK OFFICE

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Applicant: Janet R. Easton et al. : Confirmation No. 5863Serial No. 09/966,232 : GROUP ART UNIT     Filed: 09/28/2001 : ExaminerTitle: INTELLIGENT INTERRUPT WITH : November 14, 2003HYPERVISOR COLLABORATOR : Floyd A. Gonzalez

: Intellectual Property Law

: 2455 South Road, P386

Poughkeepsie, NY 12601

PETITION FOR WITHDRAWAL OF HOLDING OF ABANDONMENT

Attention: Office of Petitions

**Mail Stop Petition**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Adjustment date: 02/04/2004 JTIPPETT  
11/19/2003 SLUANG1: 00000043 090463 09966232  
01 FC:1460 130.00 CR

Sir:

02/04/2004 JTIPPETT 00000001 090463 09966232

01 FC:1251 110.00 DA

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the

United States Patent and Trademark Office. The date of

11/19/2003 SLUANG1 00000043 090463 09966232

01 FC:1460 130.00 DA

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abandonment is the day after the expiration date of the period set for reply in the Office or action plus any extensions of time actually obtained.

APPLICANT HEREBY PETITIONS FOR WITHDRAWAL OF  
HOLDING OF ABANDONMENT

1. Petition fee

Other than small entity - fee \$130.00

The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0463 as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of \$130.00 as the petition fee.

Credit any over payment.

Charge any additional fees required.

2. Reply

The reply to the above-noted Office action in the form of Transmittal of Formal Drawings was mailed with a certificate of mailing on November 8, 2001 (Copy enclosed as Appendix B).

3. Terminal disclaimer

Since this utility application was filed on or after June 8, 1995, no terminal disclaimer is required.

4. STATEMENT

A NOTICE TO FILE CORRECTED APPLICATION PAPERS, FILING DATE GRANTED was mailed 10/29/2001 (copy enclosed as Appendix A) giving two months to file substitute drawings. A Transmittal of Formal Drawings with 9 sheets of drawings were mailed with a certificate of mailing on November 8, 2001 (copy enclosed as Appendix B). The reply included an enclosed postcard. The

postcard date stamped Jan 18, 2002 by the Patent and Trademark Office was received Jan 29, 2002 (copy enclosed as Appendix C).

It is respectfully submitted that the reply to the NOTICE TO FILE CORRECTED APPLICATION PAPERS was properly submitted within the two months time limit, and that the reply was received in the Patent and Trademark Office, as shown by the attached Appendices. Applicants request that they be credited with the petition fee.

Appendix A: NOTICE TO FILE CORRECTED APPLICATION PAPERS dated 10/29/2001.

Appendix B: Transmittal of Formal Drawings with 9 sheets of drawings mailed with a certificate of mailing dated Nov. 8, 2001.

Appendix C: Return postcard stamped by the Patent and Trademark Office Jan 18, 2002.

RESPECTFULLY SUBMITTED



BY: FLOYD A. GONZALEZ-Attorney

Registration No. 26,732

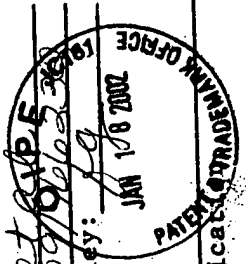
Phone: 845-433-1163

Fax: 845-432-9786



Patent Application      Issue Fee  
Spec      Dwgs      Clms      Extension of Time  
Executed Declaration      Unexecuted Declaration  
Assignment & Transmittal      Request for Div.  
IDS and PTO 1449      CPA      CIP  
Resp. Notice to File Missing Parts w/PTO 1533 Form  
Formal Drawings      Priority S/N  
☒ Amendment      Priority Date  
Other: Response to Notice to File Corrected Appl. Papers  
In re, application of: J. R. Cantor et al. v. E  
IBM Docket No.: 2003-010063151 SN: 09/01/02  
Date Filed: 9/28/01 Attorney: 29  
Today's Date Mailed: 11/8/01  
☒ Please date stamp and return  
Express Mail Label No.:  
Please note serial number for new application

**JAN 29 2002**



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Appendix C

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**TRANSMITTAL OF FORMAL DRAWINGS**Docket No.  
POU920010063US1In Re Application Of: **Janet R. Easton et al.**

Serial No.

09/966,232

Filing Date

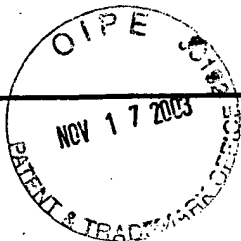
September 28, 2001

Batch No.

Examiner

Art Unit

2181

Invention: **INTELLIGENT INTERRUPT WITH HYPERVISOR COLLABORATION**Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231**RECEIVED**

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Transmitted herewith are:

9 sheets of formal drawing(s) for this application.

Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c)  
on the reverse side of the drawing.Floyd A. Gonzalez, Reg. 26,732  
IBM Corporation  
Intellectual Property Law  
2455 South Road, P386  
Poughkeepsie, New York 12601  
(845) 433-1163  
Fax: (845) 432-9786Dated: November 8, 2001**RECEIVED**

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APPENDIX B

**OFFICE OF PETITIONS**I certify that this document and attached formal drawings  
are being deposited on November 8, 2001 with the U.S.  
Postal Service as first class mail under 37 C.F.R. 1.8 and  
addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Susan L. Nelson

Typed or Printed Name of Person Mailing Correspondence



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,232	09/28/2001	Janet R. Easton	POU920010063US1

Floyd A. Gonzalez  
IBM Corporation  
2455 South Road, P386  
Poughkeepsie, NY 12601



CONFIRMATION NO. 5863

## FORMALITIES LETTER



\*OC000000006979045\*

Date Mailed: 10/29/2001

DEC 08 2003

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

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*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Appendix A

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/966,232	09/28/2001	Janet R. Easton	POU920010063US1

CONFIRMATION NO. 5863

ABANDONMENT/TERMINATION  
 LETTER

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\*OC000000011189115\*

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Date Mailed: 11/05/2003

Floyd A. Gonzalez  
 IBM Corporation  
 2455 South Road, P386  
 Poughkeepsie, NY 12601



## NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 10/29/2001.

- No reply was received.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(l); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (703) 305-9282. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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